

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hambrecht et al.	Atty Docket:	2955/107
Serial No:	10/632,818	Art Unit:	3691
Date Filed:	August 4, 2003	Examiner:	Campen, K.
Invention:	Auction System and Method for Pricing and Allocation During Capital Formation		

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MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT

Dear Sir:

In response to the office action mailed August 9, 2007, please amend the above-identified application as follows to put the application in condition for allowance.

Amendments to the Abstract begin on page 2 of this paper.

Remarks begin on page 3 of this paper.